



BUSINESS CONTINUITY PLAN SUMMARY DISCLOSURE STATEMENT

Mora Capital Securities LLC (“Firm”) has adopted a Business Continuity Plan (“BCP”) pursuant to the rules and guidance of the U.S. Securities and Exchange Commission (“SEC”), FINRA and, where applicable, the laws of the states where the Firm is registered. The purpose of the BCP is to define the strategies and plans that will be used by the Firm during a significant business disruption (“SBD”). The BCP is prepared to address both internal SBDs, such as a fire in the Firm’s building, and external SBDs, such as a natural disaster, terrorist attack, or citywide power disruption.

Our Business Continuity Plan

In the event of an SBD, Firm will safeguard employee lives and Firm property. Firm will work to re-establish the systems necessary to quickly recover and resume operations, protect Firm’s books and records and allow Firm’s customers to transact business to the extent reasonable and practical under the circumstances. Firm’s BCP addresses the following: alternate office locations, customer access to funds and securities, financial and operational assessments, data backup and recovery, critical systems, alternative communications with customers, employees, and regulators, and critical business constituents.

Contacting Firm and Accessing Funds and Securities

If you are not able to contact the Firm through our regular telephone number 305-459-5400, please contact the direct telephone number of your broker or customer service representative. For questions relating directly to accessing your funds and securities, to wiring funds and to buying or selling securities when you cannot reach the Firm, please contact the custodian (Pershing LLC) directly.

Varying Disruptions

SBDs can vary in scope, from only our Firm to a single building housing the Firm, the business district where our Firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our Firm or a building where the Firm maintains offices, we intend to transfer our operations to a local site when needed and expect to recover and resume business within a 24-hour time period. In a disruption affecting our business district, city, or region, we intend to transfer our operations to a site outside the affected area and recover and resume business within a 2-business days’ time period. In either situation, we plan to continue in business. However, the ability of the Firm to fully function is dependent on outside sources outside of its control, including the availability of electricity, telephones, Internet, transportation, and the functioning of institutions and markets worldwide. Nothing in the Firm’s BCP or this disclosure statement is intended to provide a guarantee or warranty regarding the actions or performance of the Firm.

Additional Information

If you have questions about our business continuity planning, please contact us at 305-459-5400 or our compliance department at miami.compliance@moracm.com or 305-459-5415.